

Learnability of Quantifiers

ML2: semantic universals, plus evolution and complexity

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Outline

- 1 Introduction

- 2 Quantifiers
 - RNNs + Encoding
 - Applications

- 3 Other Cases
 - Responsive Predicates
 - Color Terms

- 4 Evolution

- 5 Complexity

- 6 Conclusion

Recap

Yesterday:

- Formal learning theory: universals don't help
- Bayesian learning: restriction via conservativity doesn't help
- Intro to neural networks

Today:

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- Apply neural learning to quantifiers (and responsive predicates and color terms)
- How learnability relates to evolution and complexity

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Explaining Universals

Natural Question

Why do the attested universals hold?

Answer 1: *learnability* (as fencing-in; to be rejected).

(Barwise and Cooper 1981; Keenan and Stavi 1986; Szabolcsi 2010)

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The universals greatly restrict the search space that a language learner must explore when learning the meanings of expressions. This makes it easier (possible?) for them to learn such meanings from relatively small input.

Compare: Poverty of the Stimulus argument for UG. (Chomsky 1980; Pullum and Scholz 2002)

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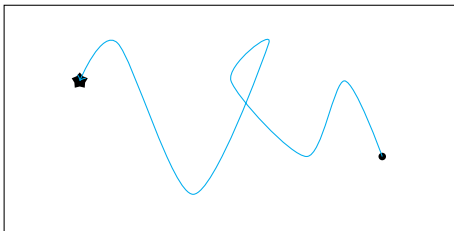


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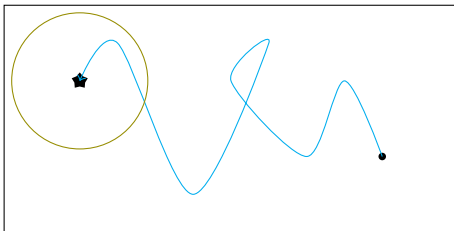
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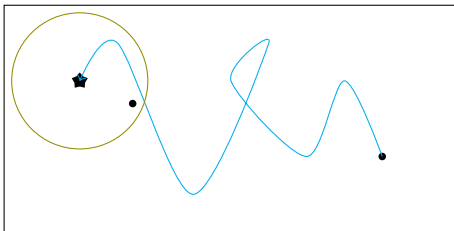
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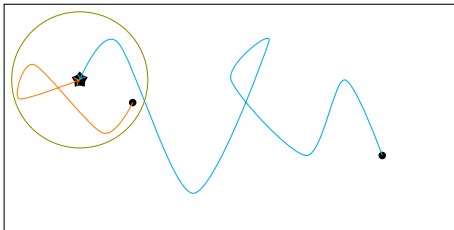
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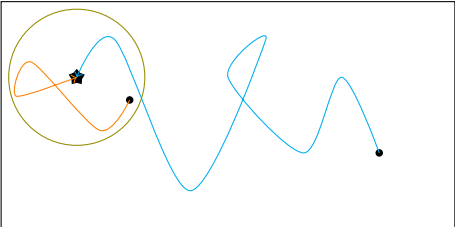


Explaining Universals

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Why do the attested universals hold?

Answer 1: *learnability* (as fencing-in; to be rejected).
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- Answer must in a sense be true, but:
- Restriction may not help much. (Steven T Piantadosi, Tenenbaum, and Goodman 2013)
 - Does not explain *which* universals are attested.

Explaining Universals

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Why do the attested universals hold?

Answer 2: *learnability* (as temperature).

(hints in van Benthem 1987; Peters and Westerståhl 2006)

Explaining Universals

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Universals aid learnability because expressions satisfying the universals are *easier* to learn than those that do not.

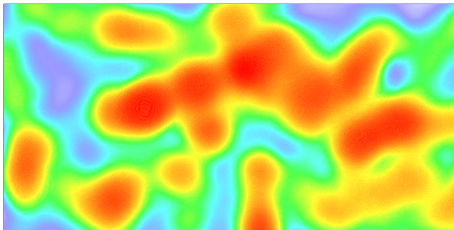
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To the code!

<https://github.com/shanest/nn-tutorial/blob/master/tutorial.ipynb>

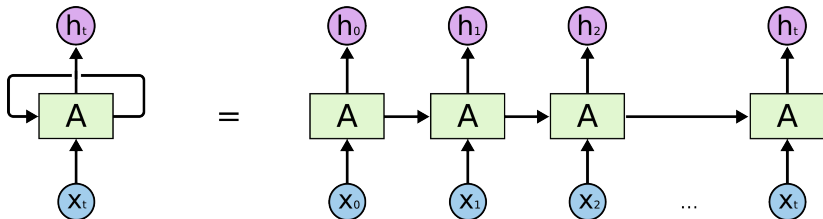
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- ② **Quantifiers**
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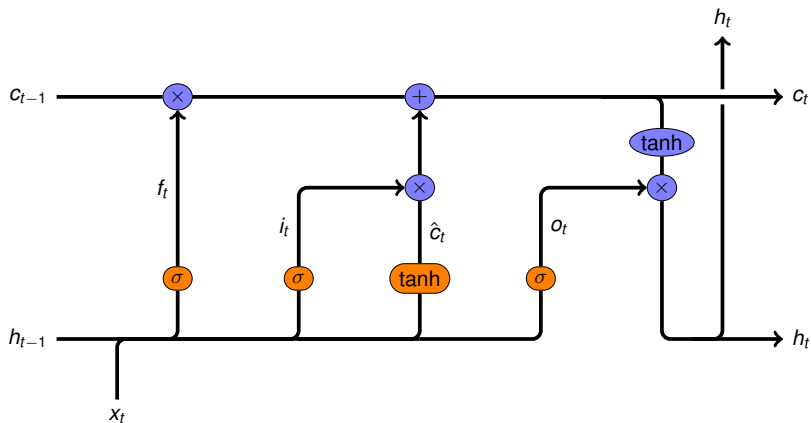
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RNNs



Long Short-Term Memory Network



Hochreiter and Schmidhuber 1997

Quantifier Input

	$\in A?$	$\in B?$	x_i
o_1	✓	✓	$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$
o_2	✓	✗	$\begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$
o_3	✗	✓	$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}$
o_4	✓	✓	$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$
o_5	✗	✗	$\begin{bmatrix} 0 & 0 & 0 & 1 & 0 & 1 \end{bmatrix}$

x_i : i th input to LSTM

- First four dimensions: where in the model is o_i
- Last two dimensions: label for quantifier.

Quantifiers: 'every' and 'some' (two total)

This example: $Q = \text{'some'}$

True label $y = [1 \ 0]$, because sentence is True.

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Monotonicity

- Many Amsterdammers **ride an omafiets to work.**
 ⇒ Many Amsterdammers **ride a bike to work.**

So: 'many' is *upward monotone*.

- Few Amsterdammers **ride a bike to work.**
 ⇒ Few Amsterdammers **ride an omafiets to work.**

So: 'few' is *downward monotone*.

- At least 6 or at most 2 Amsterdammers **ride an omafiets to work.**
 ⚡ (and ⚡) At least 6 or at most 2 Amsterdammers **ride a bike to work.**

So: 'at least 6 or at most 2' is not monotone.

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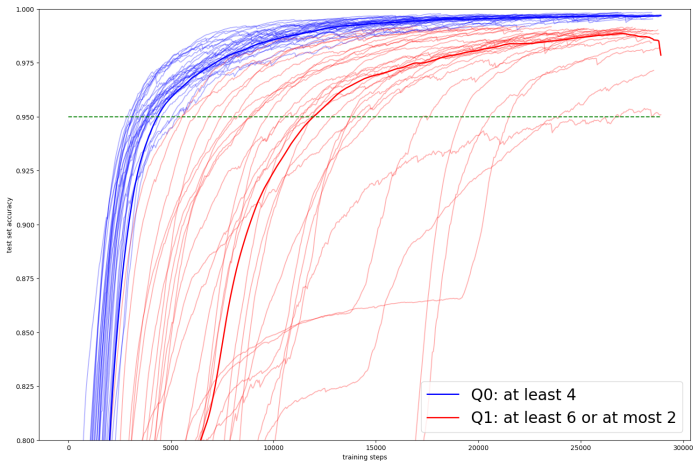
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Monotonicity Universal

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All simple determiners are monotone.
(Barwise and Cooper 1981)

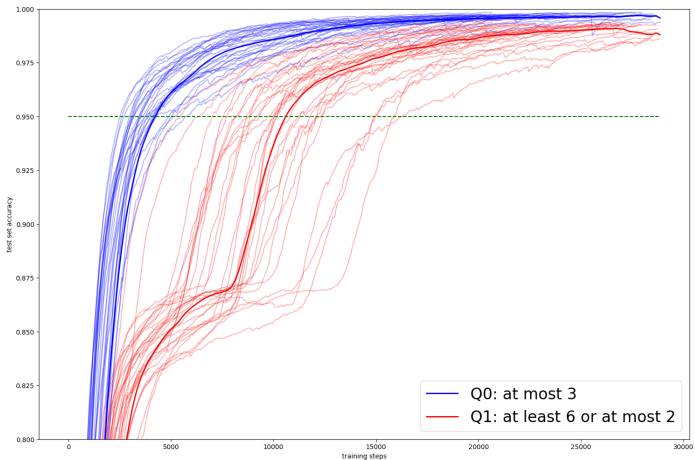
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Code and data: <https://github.com/shanest/quantifier-rnn-learning>.

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Quantity

- At least three buildings at Science Park are blue.
There are exactly as many blue and non-blue buildings on El Camino Real as at Science Park.
⇒ At least three buildings on El Camino Real are blue.

So: 'at least three' is *quantitative*.

- The first three buildings at Science Park are blue.
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Quantity Universal

- Q is *quantitative*:
 if $\langle M, A, B, \dots \rangle \in Q$ and $A \cap B, A \setminus B, B \setminus A, M \setminus (A \cup B)$ have the same cardinality (size) as their primed-counterparts, then $\langle M', A', B', \dots \rangle \in Q$

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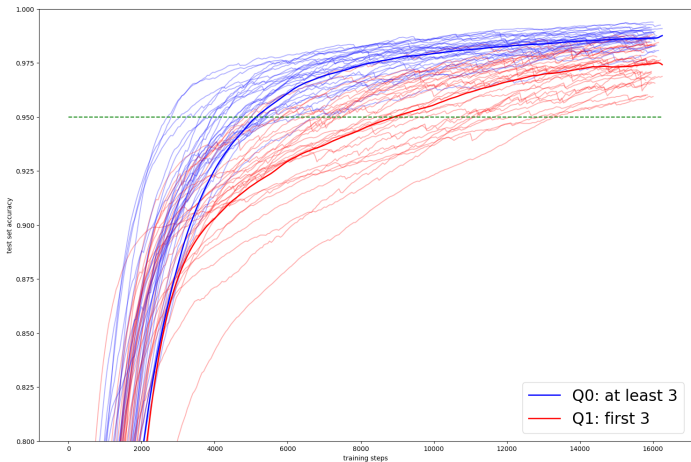
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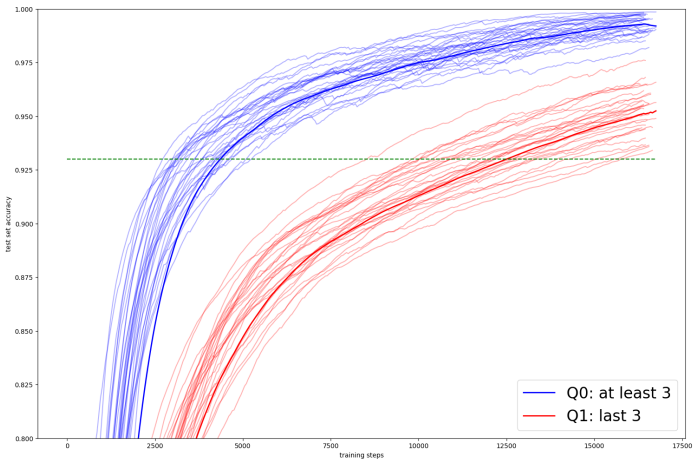
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Conservativity

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 \equiv Many Amsterdammers are Amsterdammers who ride an omafiets to work.

So: 'many' is *conservative*.

- Only Amsterdammers ride an omafiets to work.
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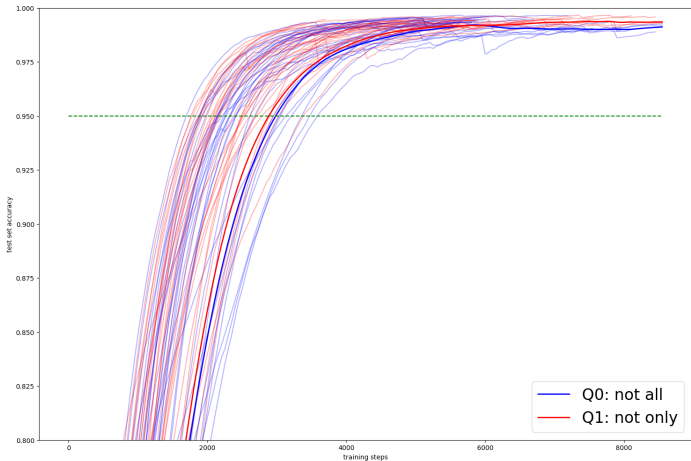
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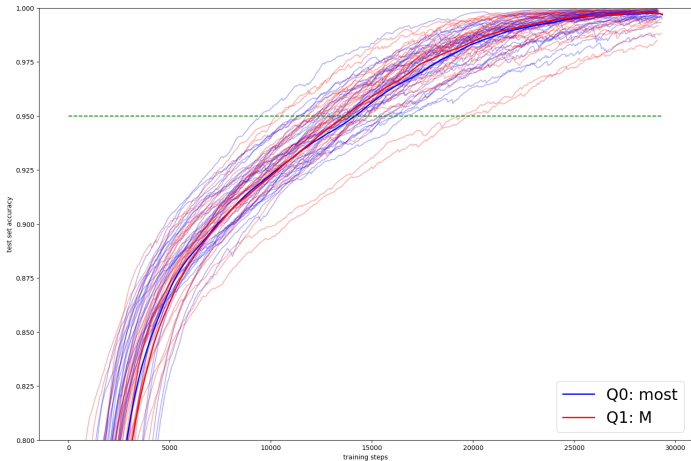
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Conservativity: Discussion

- The data generation does not ‘break the symmetry’ between $A \setminus B$ and $B \setminus A$.
- Conservativity may be a syntactic/structural constraint, not a constraint on the lexicon.
[See Fox 2002; Romoli 2015; Sportiche 2005, summarized Appendix to these slides]

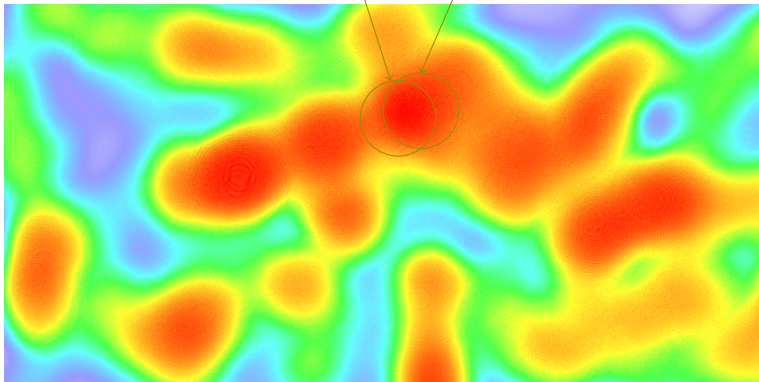
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Quantifiers: Summary

quantitative

monotone



$$D_{\langle et, \langle et, t \rangle \rangle}$$

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Types of Clause-Embedding Predicates

- ● Carlos believes that Amsterdam is the capital of the Netherlands.
- # Carlos believes where Amsterdam is.
- ● # Carlos wonders that Amsterdam is the capital of the Netherlands.
- ● Carlos wonders where Amsterdam is.
- ● Carlos knows that Amsterdam is the capital of the Netherlands.
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Types of Predicates

type	declarative	interrogative	example
rogative	x	✓	'wonder'
anti-rogative	✓	x	'believe'
responsive	✓	✓	'know'

Lahiri 2002; Theiler, Roelofsen, and Aloni 2018; Uegaki 2018

Veridicality

- Maria knows that the canal has 7 bridges.

↪ The canal has 7 bridges.

So: 'know' is *veridical with respect to declarative complements*.

- Maria knows how many bridges the canal has.

The canal has 7 bridges.

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So: 'know' is *veridically uniform*.

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Veridicality

- Maria is certain that the canal has 7 bridges.

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So: 'be certain' is *not* veridical with respect to declarative complements.

- Maria is certain about how many bridges the canal has.
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The Veridical Uniformity Thesis

Veridical Uniformity Universal

All responsive predicates are veridically uniform.
(Spector and Egré 2015; Theiler, Roelofsen, and Aloni 2018)

Four Responsive Predicates

Predicate	Lexical Entry: $\lambda P_T. \lambda p_{(s,t)}. \lambda a_e. \forall w \in p : \dots$	Veridical	
		Declarative	Interrogative
know	$w \in \text{DOX}_w^a \in P$	✓	✓
wondows	$w \in \text{DOX}_w^a \subseteq \text{info}(P)$ and $\text{DOX}_w^a \cap q \neq \emptyset \forall q \in \text{alt}(P)$	✓	x
knopinion	$w \in \text{DOX}_w^a$ and $(\text{DOX}_w^a \in P \text{ or } \text{DOX}_w^a \in \neg P)$	x	✓
be certain	$\text{DOX}_w^a \in P$	x	x

Table: Four predicates, exemplifying the possible profiles of veridicality.

The semantics are given in terms of *inquisitive semantics* (Ciardelli, Groenendijk, and Roelofsen 2018).

Responsive Predicate Input

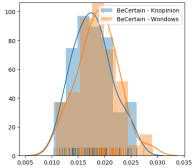
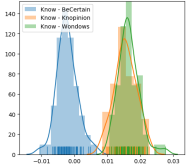
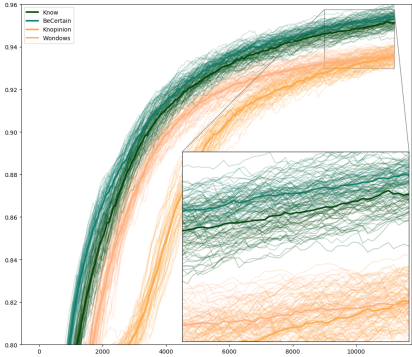
Suppose $W = \{w_1, w_2, w_3\}$, and we are considering an example with $Q = \{\{w_1\}, \{w_2, w_3\}\}$.

world	encoded
w_1	$\begin{bmatrix} 1 & 0 & 0 \end{bmatrix}$
w_2	$\begin{bmatrix} 0 & 1 & 1 \end{bmatrix}$
w_3	$\begin{bmatrix} 0 & 1 & 1 \end{bmatrix}$

We concatenate all of the following together:

- Encoding of each world
- A label for the predicate (e.g. $[0 \ 1 \ 0 \ 0]$)
- A label for the world of evaluation (e.g. $[0 \ 0 \ 1]$)
- A vector (length $|W|$) for Dox_w^a (e.g. $[0 \ 1 \ 1]$)

Veridical Uniformity: Results

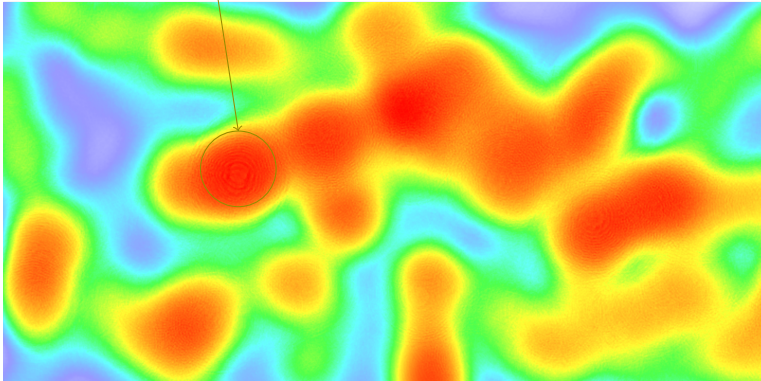


Shane Steinert-Threlkeld, “An Explanation of the Veridical Uniformity Universal”, in *Journal of Semantics*.

Code and data: <https://github.com/shanest/responsive-verbs>.

Responsive Predicates: Summary

veridically uniform



$D_{\text{responsive}}$

Outline

- 1 Introduction
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The Order of Color Terms



Berlin and Kay 1969; E. Gibson, Futrell, Jara-Ettinger, Mahowald, Bergen, Ratnasingam, M. Gibson, Steven T. Piantadosi, and Conway 2017; Regier, Kay, and Khetarpal 2007

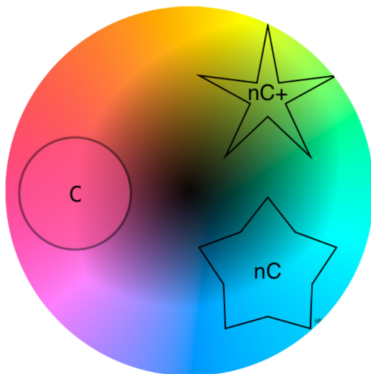
<https://www.vox.com/videos/2017/5/16/15646500/color-pattern-language>

Convexity

While natural languages vary in how many color terms they have and which specific colors are denoted, it seems that all color terms denote very 'well-behaved' regions of color space.

- X is *convex* just in case if $x, y \in X$, then for every $t \in (0, 1)$,

$$tx + (1 - t)y \in X$$

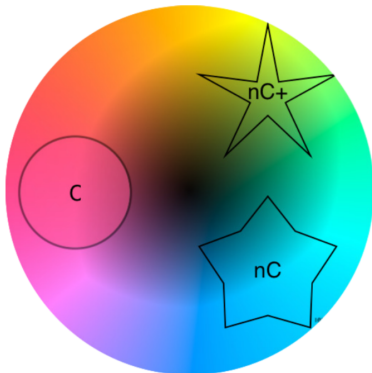


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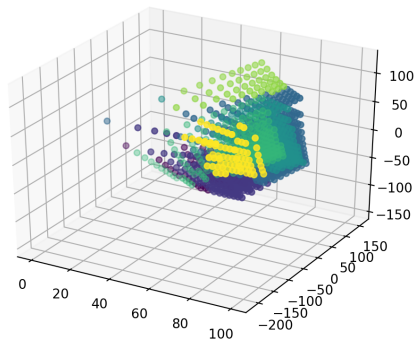
Convexity universal

Convexity Universal

All color terms denote convex regions of color space.
(Gärdenfors 2014; Jäger 2010)

Partitioning CIE-L*a*b* Space

We generated 300 artificial color-naming systems by partitioning the CIELab color space into distinct categories. CIELab approximates human color vision. It is perceptually uniform, meaning that the distance in the space corresponds well with the visually perceived color change.

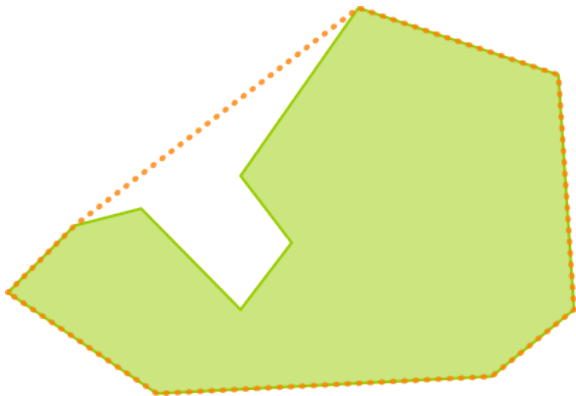


Example Partitions of 2D space



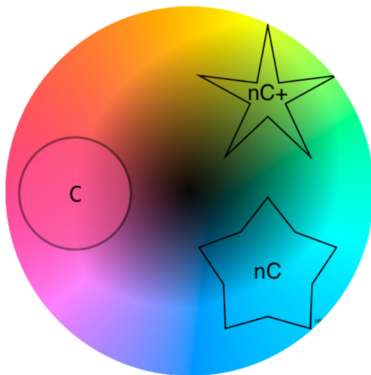
Degree of convexity

We measured the degree of convexity as the (weighted) average area of the convex hull of each color that is covered by that color.

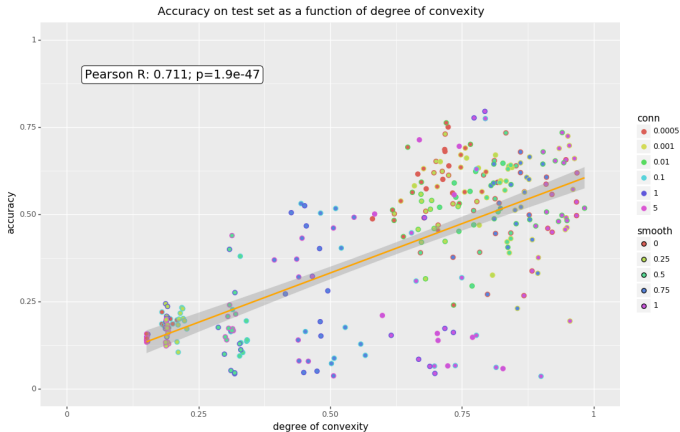


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Convexity: Results



Shane Steinert-Threlkeld and Jakub Szymanik, “Ease of learning explains semantic universals”, *Cognition*.

Code and data: <https://github.com/shanest/color-learning>.

Convexity: Commonality Analysis

Variable	R^2	ΔR^2
conn	0.180	0.0003
smooth	0.008	0.0365
degree of convexity	0.505	0.3726
conn · smooth	0.054	0.0019
min size	0.014	0.0000
max size	0.001	0.0000
median size	0.000	0.0007
min / max	0.043	0.0014
max – min	0.000	0.0000

Shane Steinert-Threlkeld and Jakub Szymanik, "Ease of learning explains semantic universals", *Cognition*.

Code and data: <https://github.com/shanest/color-learning>.

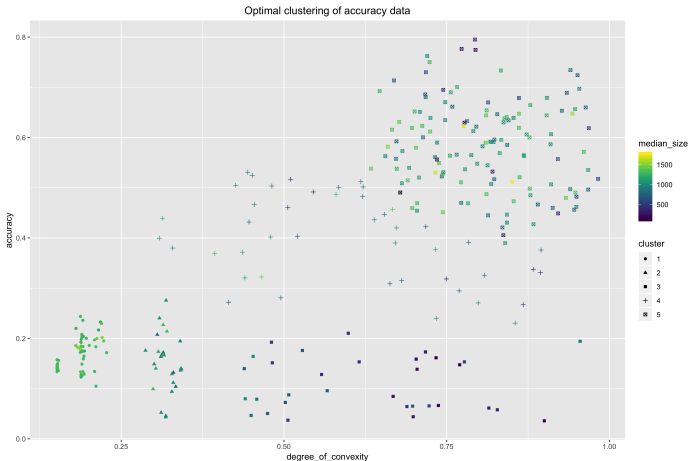
Controlling for Linear Separability

Variable	R^2	ΔR^2
degree of convexity	0.505	0.1288
linear separability	0.418	0.0005

Shane Steinert-Threlkeld and Jakub Szymanik, “Ease of learning explains semantic universals”, *Cognition*.

Code and data: <https://github.com/shanest/color-learning>.

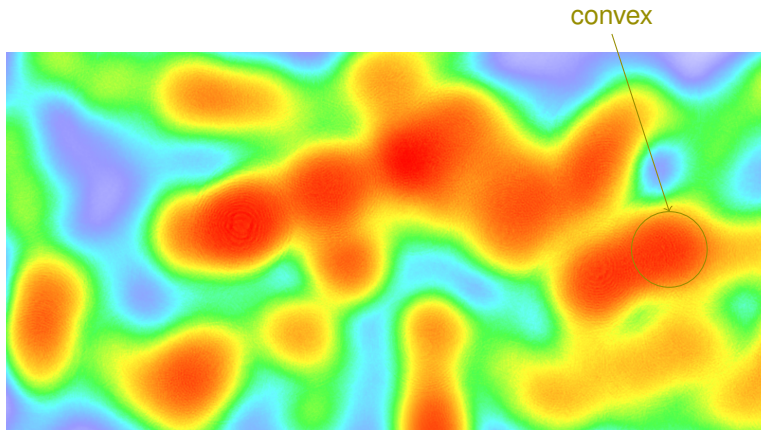
Cluster Analysis



Shane Steinert-Threlkeld and Jakub Szymanik, "Ease of learning explains semantic universals", *Cognition*.

Code and data: <https://github.com/shanest/color-learning>.

Colors: Summary



D_{color}

Interim Summary

Ease of learning, measured as the speed of convergence of NNs, can explain the presence of linguistic universals in various semantic domains, including both function and content words.

- Can the observed linguistic structure be explained by the learnability bias?
- Are there other / 'better' explanations?

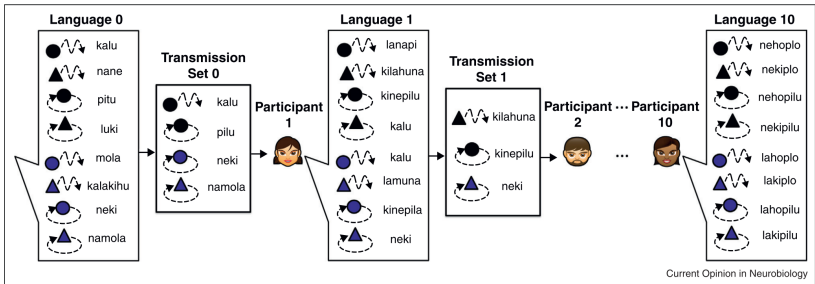
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Transmission



Iterated Learning



Kirby, Griffiths, and Smith 2014

Degree of Monotonicity: Intuition

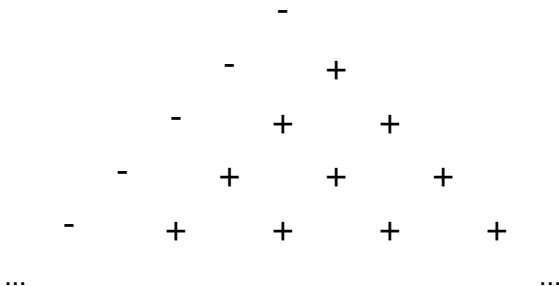
Intuitively, quantifiers can be *more or less* monotone.



Information theoretically: how much information about the quantifier is provided by which models have true sub-models?

Degree of Monotonicity: Intuition

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at least n

Information theoretically: how much information about the quantifier is provided by which models have true sub-models?

Degree of Monotonicity: Definition

Binary random variables:

- $\mathbb{1}_Q$: the truth-value of quantifier Q
- $\mathbb{1}_Q^\prec$: whether a model has a true sub-model

Mutual Information:

$$I(\mathbb{1}_Q; \mathbb{1}_Q^\prec) := H(\mathbb{1}_Q) - H(\mathbb{1}_Q | \mathbb{1}_Q^\prec)$$

Degree of monotonicity:

$$\begin{aligned} \text{mon}(Q) &:= \frac{I(\mathbb{1}_Q; \mathbb{1}_Q^\prec)}{H(\mathbb{1}_Q)} \\ &= \frac{H(\mathbb{1}_Q) - H(\mathbb{1}_Q | \mathbb{1}_Q^\prec)}{H(\mathbb{1}_Q)} \\ &= 1 - \frac{H(\mathbb{1}_Q | \mathbb{1}_Q^\prec)}{H(\mathbb{1}_Q)} \end{aligned}$$

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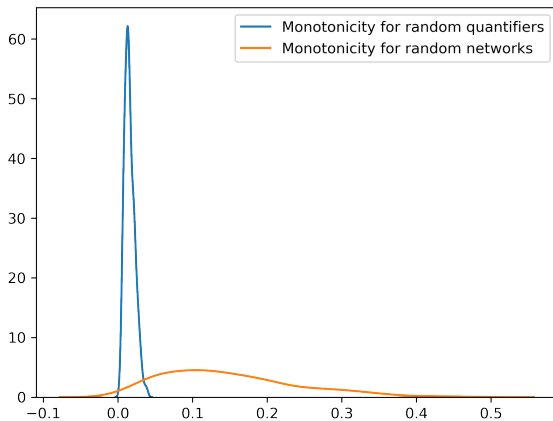
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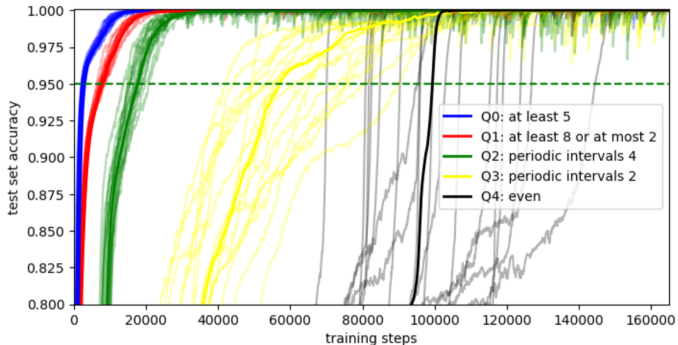
Degree of Monotonicity: Examples

- at least n : 1
- between 3 and 5: 0.752
- an even number of: 0.001

Degree of Monotonicity: Distribution

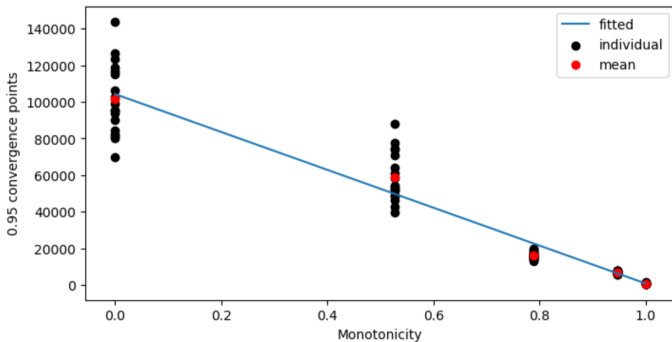


Degrees of Monotonicity and Learnability



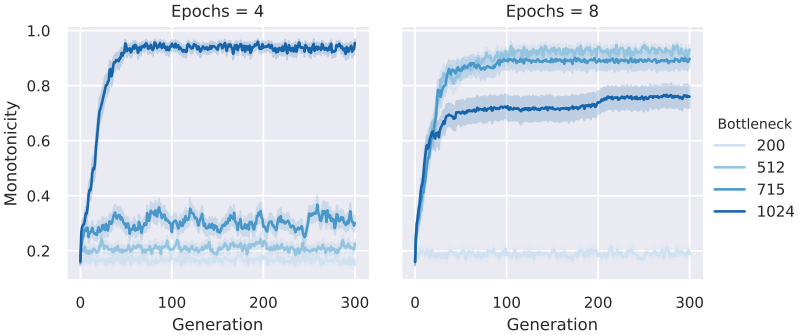
Zi Long Zhu, “Machine learning and semantic universals”, BSc Informatica thesis

Degrees of Monotonicity and Learnability



Zi Long Zhu, "Machine learning and semantic universals", BSc Informatica thesis

Results



Fausto Carcassi, Shane Steinert-Threlkeld, and Jakub Szymanik, "Monotone Quantifiers Emerge via Iterated Learning", *Cognitive Science*.

Code and data: <https://github.com/thelogicalgrammar/NeuralNetIteratedQuantifiers>

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Learnability and Complexity

- Learnability *can* explain the presence of universals.
- But is it the only (or the best) such explanation?
- A natural idea: some notion of *complexity* explains both the universals and the learnability facts.

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- A natural idea: some notion of *complexity* explains both the universals and the learnability facts.

What's the right measure of complexity?

- Previous attempts fail to capture the distinctions:
 - Automata theory (van Benthem 1986)
 - Computational complexity (Szymanik 2016)
 - Formal learning theory (Gierasimczuk 2007, 2009; Tiede 1999)
- Let's try: information-theoretic perspective on GQs.

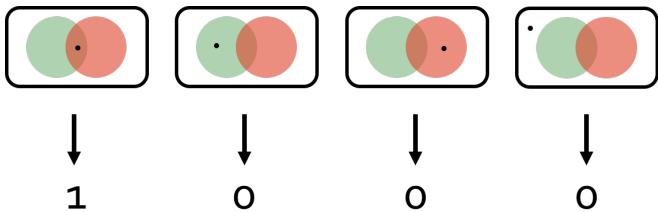
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(Approximate) Kolmogorov Complexity

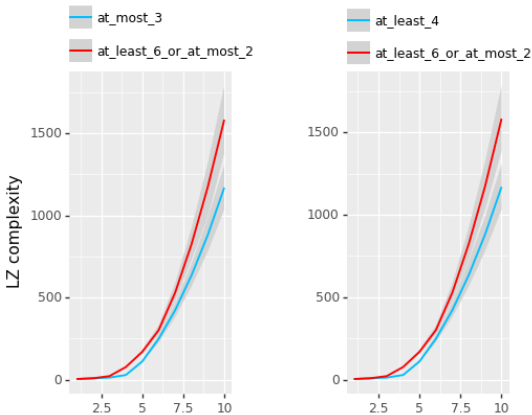
- $K(x)$ —the length of the shortest program p that outputs x
- The drawback: K is uncomputable
- $LZ(x)$ —Lempel-Ziv is a tractable approximation of K
- Recent applications: Dingle, Camargo, and Louis 2018; Feldman 2016; Valle-Pérez, Camargo, and Louis 2019

Method



Idea: universals induce regularity/structure in the distribution of truth values across models, which aid compressibility.

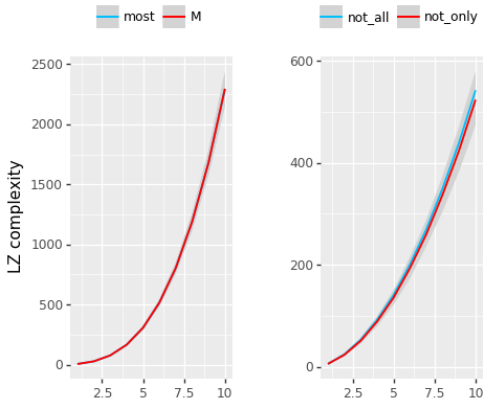
LZ Results: Monotonicity



Iris van de Pol, Paul Lodder, Leendert van Maanen, Shane Steinert-Threlkeld, Jakub Szymanik, “Quantifiers satisfying semantic universals have shorter minimal description length”, *Cognition*.

Code and data: <https://tinyurl.com/quantifierLZ>

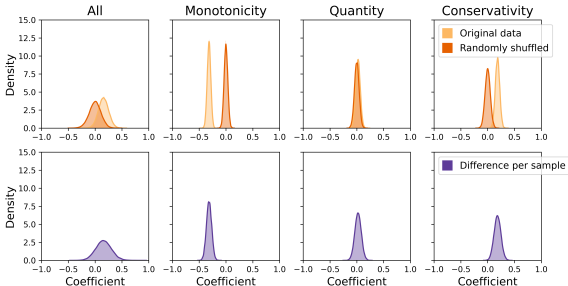
LZ Results: Conservativity



Iris van de Pol, Paul Lodder, Leendert van Maanen, Shane Steinert-Threlkeld, Jakub Szymanik, "Quantifiers satisfying semantic universals have shorter minimal description length", *Cognition*.

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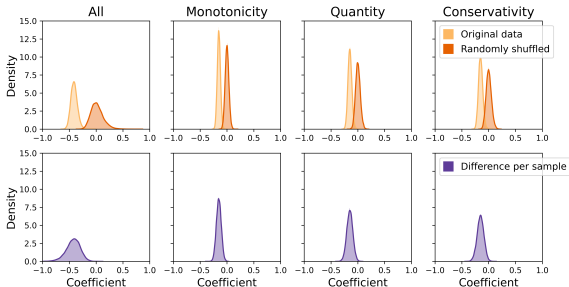
LZ Scaled Results



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Code and data: <https://tinyurl.com/quantifierLZ>

Minimum Expression Length Scaled Results



Iris van de Pol, Paul Lodder, Leendert van Maanen, Shane Steinert-Threlkeld, Jakub Szymanik, “Quantifiers satisfying semantic universals have shorter minimal description length”, *Cognition*.

Code and data: <https://tinyurl.com/quantifierLZ>

Explaining Universals

Why do semantic universals arise?

- (I) Because expressions satisfying them are easier to learn.
- (II) And languages tend to lexicalize easier-to-learn expressions.

We provided evidence for (I) by training neural networks to learn expressions from three very different linguistic domains, spanning function and content words: quantifiers, responsive predicates, and color terms.

For (II), combining iterated learning with neural network agents leads to the emergence of *monotone* quantifiers.

Future Directions

- More universals from more domains.
- ‘Scaling up’ the computational experiments, e.g.,
Does CONS arise from a biased linguistic distribution?
Mhasawade, Szabó, Tosik, and Wang 2018: NO
- IL: more realistic quantifiers, other case studies, full typological picture
- Simplicity-informativeness trade-off:
 - Quantifiers (Steinert-Threlkeld 2021)
 - Indefinites (Denić, Steinert-Threlkeld, Szymanik 2022)
 - Modals (Imel, Steinert-Threlkeld 2022)
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Confusion Matrices

label	all		know		be-certain		knopinion		wondows	
	1	0	1	0	1	0	1	0	1	0
1	15412.2	1176.4	3881.1	261.7	3878.5	240.8	3843.0	349.2	3809.6	324.7
0	587.8	14823.7	118.9	3738.3	121.6	3759.2	156.9	3650.9	190.4	3675.3

Table: Average confusion matrix across all 60 trials, in total and by verb. The rows are predicted truth-value, and the columns the actual truth value.

Distributions by Verb

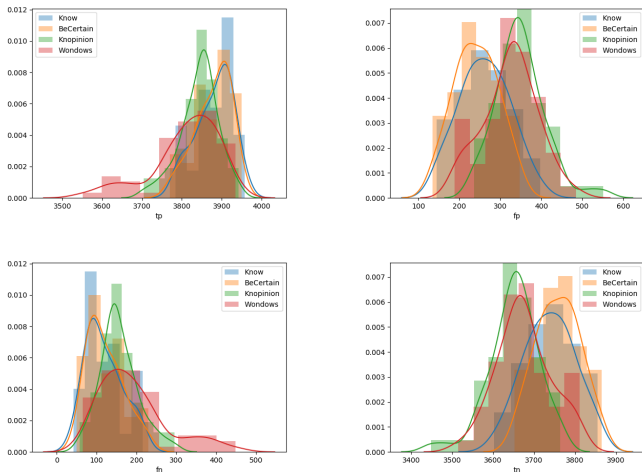


Figure: Distributions (Gaussian kernel density estimates) of the true/false positives/negatives by verb.

Accuracy by Semantic Properties of Input

factor	value	know	be-certain	knopinion	wondows
complement	declarative	0.983	0.986	0.954	0.983
	interrogative	0.923	0.924	0.921	0.841
$w \in \text{DOX}_w^a$	1	0.964	0.957	0.954	0.947
	0	0.919	0.953	0.887	0.924
$\text{DOX}_w^a \in P$	1	0.961	0.966	0.949	0.947
	0	0.945	0.943	0.929	0.922

Table: Accuracy by verb and various semantic features of the input, aggregated across all trials.

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The Core Idea

Conservativity, neutrally stated: every sentence of the form “D NP VP” is truth-conditionally equivalent to “D NP is an NP that VP”.

Structural Conservativity: every sentence of the form “D NP VP” is truth-conditionally equivalent to $f(\llbracket \text{NP} \rrbracket)(\llbracket \text{VP} \rrbracket)$ for some conservative function f , *whether or not* D denotes a conservative quantifier.

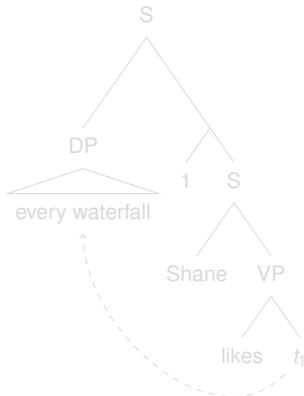
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Movement à la Heim & Kratzer

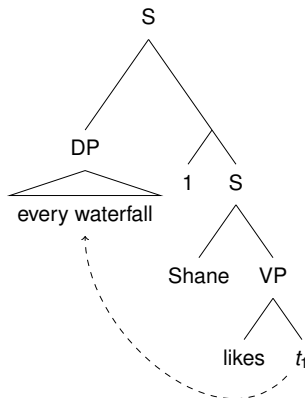
Shane likes every waterfall.



Every waterfall is such that **it** is liked by Shane.

Movement à la Heim & Kratzer

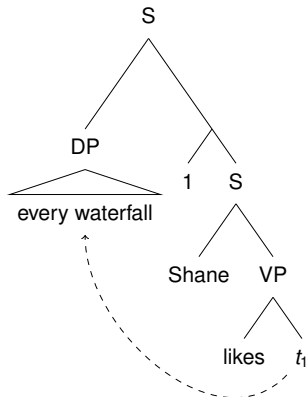
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Movement as copying

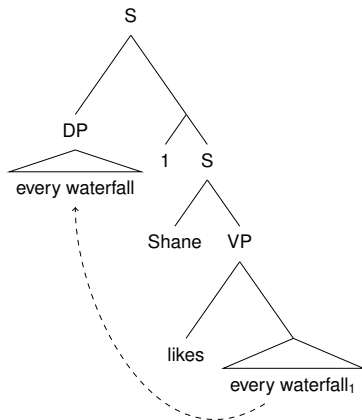
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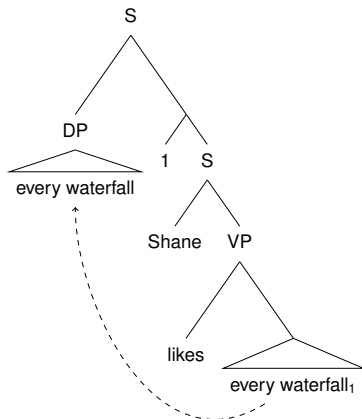
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Movement Without Type Mismatch

Every waterfall is tall.

Key ingredient: VP internal subject hypothesis (e.g. Kratzer 1996).

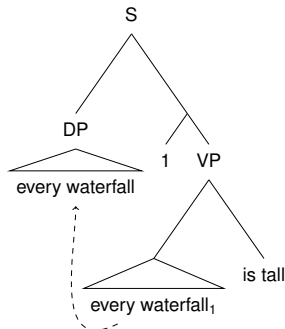


Every waterfall is such that **it is a waterfall** that is tall.

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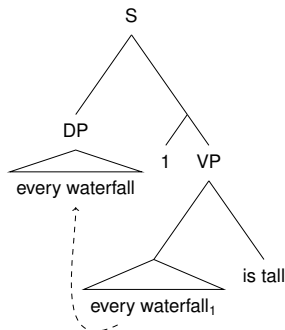


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Worked Example

Consider a hypothetical non-conservative determiner ‘equi’:

$$\llbracket \text{equi} \rrbracket = \{ \langle M, A, B \rangle : A = B \}$$

With (i) copy theory of movement and (ii) VP-internal subjects:

‘Equi French people smoke cigarettes’ is true iff:

$$\llbracket \text{French people} \rrbracket = \llbracket \text{French people} \rrbracket \cap \llbracket \text{smoke cigarettes} \rrbracket$$

This is equivalent to: ‘All French people smoke cigarettes’!

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Algorithm for Generating Color Systems

Algorithm 1 Generate an artificial color system

Parameters: temp (t), conn (c), initial ball size (b)

Inputs: a set X , distance measure d , number of categories N

```

UNLABELED  $\leftarrow X$ ; LABELED $i$   $\leftarrow \emptyset$  ( $\forall i \in \{1, \dots, N\}$ )
Choose  $x_1, \dots, x_N$  uniformly at random from  $X$ 
for  $i = 1, \dots, N$  do
  LABELED $i$  +=  $x_i$ ; pop( $x_i$ , UNLABELED)
  for all  $x \in \text{NearestNeighbors}(x_i, b)$  do
    LABELED $i$  +=  $x$ ; pop( $x$ , UNLABELED)
  end for
end for
while UNLABELED  $\neq \emptyset$  do
   $d_i \leftarrow 1 / (\min_{x' \in \text{LABELED}_i} d(x, x'))^{1/4}$ 
   $p_i \leftarrow e^{d_i/t} / \sum_j e^{d_j/t}$ 
  Choose label  $i$  with probability  $p_i$ 
  LABELED $i$  +=  $x$ ; pop( $x$ , UNLABELED)
end while
for  $i = 1, \dots, N$ , ordered by increasing size of LABELED $i$  do
   $M_i \leftarrow \text{ConvexHull}(\text{LABELED}_i) \setminus \text{LABELED}_i$ 
   $R_i \leftarrow \text{ClosestPoints}(M_i, \text{LABELED}_i, c \cdot |M_i|)$ 
  for all  $x \in R_i$  do
    LABELED $i$  +=  $x$ ; pop( $x$ , cell( $x$ ))
  end for
end for

```

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References I



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